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MEASUREMENTS ON NOISE FROM REFLEX OSCILLATORS

REPORT

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Report 872

December 21, 1945

MEASUREMENTS ON NOISE PROM DEFINE OSCILLATORS

Abstract

A program of measurements on noise output of reflex local oscillators, particularly the 722A/B and 2523, was undertaken to try to determine the importance of this factor in receiver design. Considering the two noise cidebands each 2.5 md/sec wide and located 30 md/sec away from the main output, we found noise powers ranging from 2.2 to 9.8 x 10⁻¹² watts coming out of 722A/B's loaded for optimum output. Asymmetrical behavior of the noise with electronic tuning was investigated and found to require the new theory presented in the companion report number 873 by J. E. Imipp. Some ressurements of the individual noise sidebands and of the noise output as a function of load were found to be in estisfactory agreement with theory.

J. B. H. Euper H. O. Valts

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HMASHIRENTS OF SCIENTIFICH POPLEY OSCILLATORS

Introduction

Although it has been known for about three years that local cociliators can contribute appreciable noise in circovare receivers, the experimental data have been rether scenty. In view of the fact that the trend to higher radio frequencies and the steady improvement in converte both tond to magnify the importance of this course of noise, it was decided to undertake a measurement program covering particularly the refle relocity variation takes need at X and B bands. The recent introduction of belonced mirers which eliminate this noise has greatly reduced the practical importance of this work but averatheless it may be of interest for the light it affords on the behavior of reflex oscillators, it was intended to study the noise output of a group of tubes under reproducible conditions, to attempt to detarmine the effects of different tube designs, as well as to obtain numerical information for the use of the est designer. With this in mind measurements with i-f's of X0, 60 and 90 ms were made first on a group of 723A/B tabes and later on some esserted X-band contilators.

While the meleuraments were in progress, a paper by Pierce² appeared and we devoted considerable affort to attempts to restly his calculations. Our concincton was that his theory appeared to predict correctly the effects of changes in tube design or load conditions on soine at the center of the electrical tuning range, but that it was incapable of accounting for the large rations we found with electrical tuning. At this stage Dr. Falpp storted on a more complete theory set furth in a companion paper³ which beens capable of accounting fully for the cheervations.

Piercs's theory considered three aschariems by which noise could be introduced to the i-f: 1) the "high frequency noise", thought of on shot (and interception) noise in the beam complete out through the cartty, 3) "low frequency noise" due to amplitude modulation of the oscillator by noise components in the beam at i-f, and 3) frequency modulation due to fluctuations (near i-f) in phase of the returning electrons. Experimentally it seems that with adaptate hypanings of the isade to the oscillator tube machanisms 2) and 3) are relatively unimportant.

Aside from differences in approach, Knipp's theory is an extension of Pierce's emeideration of the high frequency notes, 1) in which he takes amount a) of the coherence between the first and second passage of the electrons through the gap, and b) of noise due to mixing of various deponents in the hear with harmonice of the estillator current. The coherence n) introduces a strong variation with electrical tuning in amound with our results. In most of our expariants noise due to the two midebands, corresponding to "signal" and "image" in ordinary superheterodyne reception, was zeround without any strengt of selection. In Emipp's theory, on the other hand, the two hands are unloubsted separately and then sumeed for comparison with appariment. The contributions are in general not equal.

- Sharwood and Ginston, Sperry Gyrcacope Co. Report 5520-107
 Back, Sell Telephone Laha Report MN-42-13C-85
 Bonoh, Stendard Telephones and Sables Co. Report 2266 (0) (WHS11)
 Barre, Endiation Lah Report 51-22
- 2. Pierce, Ball Telephoon Labe Report NN-44-140-4
- 3. Fnipp, Radintion Lah Report 873
- 4. The importance of good hypersing can scarcely be overestimated. Lack of it sould introduce estemishing amounts of onice in mose ofremstances.

hethon of Measurement

In most of the prior work on oscillator noise the mensurements were made using a reservant cavity filter to remove the noise sidebands from the oscillator output. In the present work this scheme was avoided as we desired to present a non-reactive load to both the mixer and the oscillator under test. Instead the "temperature" of the crystal was measured for various conditions noise the setup shown in Fig. 1, in which the cutput due to crystal noise was compared with the noise from a resistor of equivalent i-f impedance.

We have the familiar relation for noise figure (in times) of a receiver

$$\mathbf{r} = \frac{\mathbf{r}_{\mathbf{c}} + \mathbf{r}_{\mathbf{D}} - 1}{\mathbf{s}_{\mathbf{c}}}$$

where F is the overall noise figure, T and G the "temperature" and conversion gain of the crystal, and F, the noise figure of the 1-f amplifier. If additional noise power from the local oscillator P_{NX} (in watte for the bandwidth B) is fed to the input we have

$$\begin{split} \mathbb{F}^1 &= \frac{\mathbb{F}_{XX}}{\mathbb{E} \mathbb{F}} + \frac{\mathbb{F}_0 + \mathbb{F}_{XY} - 1}{\mathbb{G}_0} \\ &= \frac{\mathbb{F}_0^1 + \mathbb{F}_{XY} - 1}{\mathbb{G}_0} \end{split}$$

where

 $T_0^{-1} = T_0 + \frac{0}{c} P_{\frac{3}{2}\frac{3}{4}}$ is an apparent crystal "temperature" including

the effects of escillator-noise.

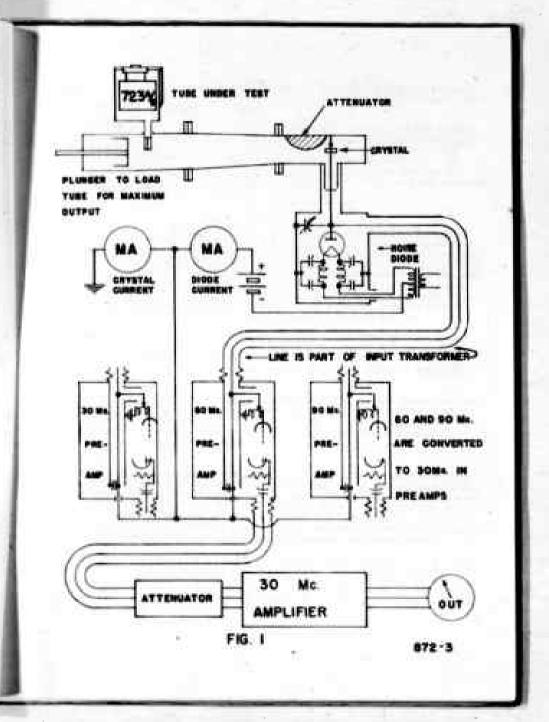
ist P_{μ} be the local oscillator power fed to the crystal and P_{μ}/P the noise/signals ratio (noise output power in a band P_{μ} over oscillator output) for the oscillator. We have $P_{\mu} = (P_{\mu}/P) P_{\mu}$. If we ressure T_{μ} under standard conditions, easy 0.5 ma crystal current, P_{μ} will very roughly as $1/Q_{\mu}$, and to a first approximation $T_{\mu}^{-1} = T_{\mu}^{-1}$ is proportional to the local oscillator noise ratio P_{μ}/P and not dependent on the properties of the crystal used.

Throughout the L-band schenrenents we used one orystal which had 6 = -7 db and T = 1.2, and a coupling was always adjusted to give a rectified current of 0.5 mg. The crystal parameters were frequently checked and remained quite constant.

As indicated in Fig. 1, the measuring set consisted of three preamplifiers tuned to 30, 60 and 90 mc, and a main amplifier about 2.5 mc wide tuned to 30 mc which incorporated as i-f attenuator and power output meter. In the case of the 60 and 90 mc preamplifiers a second conversion to 30 ms was amployed.

Goupling between the mixer and presspliffer was by n coaxial line transferser so arranged that shifting i-f involved only changing two cables, and did not affect the crystal properties. A noise diode was sounted on the mixer so that T_{ip} could be seasured in the three cases.

The tube was sounted on a section $5/8^{\circ}$ × 1 $1/4^{\circ}$ (outside) we we with the antonus located centrally in the guide. A cheke plunger in the end of the guide was



adjusted for each tabe to obtain caximum output. A taper was ampleyed to reduce to be of guide and a standard fiap attenuator served to adjust crystal current. The crystal holder was of conventional design.

This plumbing was used for nost of the measurements reported here and similar arrangements were employed at K-band. To p-rmit separation of the noise side bands in one emperiment it was modified by the introduction of a filter cavity on a T connection between the pad end the crystal to reject one component at will. In this case it would have been preferable to evoid changes in crystal gain and i-f impedance by additional padding between the crystal and the T but the local oscillator power was not sufficient to permit this.

Results

The first measurements were a series of controls to establish the validity of the scheme. In these the output power of the 7231/3 was varted in some manner not likely to affect the noise entput materially, say by changing the resonator voltage, the attenuation was readjusted to give the same crystal current, and P and T₀' were observed at the three i-f's. Then T₀' for the center of the electrical tuning range in a given mode (which we have called the "bead on" condition) is plutted against 1/P the result is a straight line for each 1-f. This line if produced would intersect the ordinate axis at a constant value for T₀, to agreement with the value 1.2 previously determined. A typical plot of this cort to show as Jig. 2.

it were noticed at once that if the power output of the oscillator was varied by electrical tuning that the plote could no longer be produced back to the common intercept, as indicated by the dotted lines in Fig. 2. Also there was a strong dependence on the direction of electrical tuning, increasing frequency giving increased notes.

Definite asymmetry is noise output me a function of electrical tuning had been observed before (of. curves to Beere' report) but who apparently ascribed to a peculiarity of the r-f preparties of the aixer and more or less ignored. In our experiments no high Q elements were present and the asymmetry was so prominent it could not be pessed over, partly because we had the tube tightly coupled to the wave guide.

For convenience we made our meneuraments generally in three conditions, tuned "Send on" and detuned by variation of reflector voltage to the two half-power points (designated as "\$ high" end "\$ low"). It was soon found that the ratio of the enice cotputs at the two half-power pubsts, the "bigh/low ratio", varied from tuby to tuby end also depended on ande meed and of for a given tube. In Table I wa filmstrate the data obtained on one 7224/B at three i-f's end for five reflector modes, together with the power output and electrical tuning range between half power puints.

As aight he expected the noise decreases rapidly when the i-f is raised. Indeed the decrease in noise is so marked that not much importance need be attached to the 90 me date, as the experimental scror must be considerable. It should be sentioned that at the helf power points the coupling was doubled (to keep the crystal current constant) and therefore if noise empty alone is of interest T' - T for the helf power points should be divided by 2.

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Table Tabl	The contract of the contract o	i		11 10.				ã S				8	I		New Year	Bentella
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4=

The results for the "45 volt" node annear less startling when con takes late assocut the variations in power output. In the lower half of the table we show the noise power in units of 10^{-13} watte computed from the relation $P_n = \frac{T_n}{T_n} \cdot \frac{T_$

Thus the figurae for $P_{\rm R}$ represent the setual octs power coming out of the tube in the two 2.5 mc wide bands located symmetrically about the frequency of oscillation at a distance equal to the i-f for the various conditions listed. Each figure is the sum of the power in the two sidebands, which so we shall see later, are in general cot equal. It must be remembered that at the $\frac{1}{2}$ power points the moles/eignal ratio $P_{\rm H/B}$ will be powers eines the useful power P will be decreased by a factor of 2.

Apart from the 45 volt mode there seems to be a regular trend downward in moise power no the transit angle is increased. Because of the very low power obtained in the 45 volt mode it was not possible to use adequate padding between the coollistor and crystal so results for this mode may be in error due to resonance affects. Also in the 45 volt mode the alectrons penetrate close to the reflector and the focusing may be badly upset.

Formally we find that the "high/low ratio" is greater than unity but in the case of the 45 rolt mode at 90 mo i-f we find a ratio of only .68. This anomalous behavior was sometimally found in other tubes at both X and X bands and has been reported by N. Z. Millar of B.T.L. Knipp's theory predicts these ratios is cases of extremely light leading such as would be found bers at the 45 rolt mode when the leading was correctly adjusted for the 160 volt mode.

In Table 11s we summarise results obtained for a representative group of 723A/2 tubes, all in the "105 volt" reflector note and with a 30 mc i-f. Values of T_0' - T_0 are given for the "head on" scattion and at the two half power points, in column three to five. Column six gives the high/low ratio and seven the power output in silliwatts. But we have T_0 , the useful current, which makes a second trip through the app after reflection, which was estimated from the observed cethods current T_0 (column 9). In the tech column we give the electrical tuning range between half power points, and in the last the noise power T_0 for the beed on condition computed as before.

The tubes reported bers were selected to represent the widest variations in everall performance that we could find in our stock, excluding those which were she sionally rejects. The estimate of I₀ was formed from observations a) of the cathode and reflector current when the reflector was positive and collecting all electrons which made one trip through the cavity and b) of the cathode current with magniture reflector. Ignoring effects of secondaries (which is surely a questionable procedure) we could compute transparencies of the three gride (O₂ and O₃ are similar). These turned out to be in quite good agreement with the optical transparencies, which is our only instification for neglecting the secondaries. With these data the current which were reflected and cade a second trip through O₃ could be estimated. Obviously only the current counts for pay are production, although noise and beam loading cam, of course, be contributed by the current which gets past O₂ on its first trip. In many nesses operation at positive reflector liberated considerable gas, so all tubes were put through a suitable aging before assertments were made.

We find that although power output varied over a range of almost 2:1 and electrical tuning varied over a range of about 2.5:1, the moise of heed on varied about 4:1. Is for we have ant found any direct correlation between noise output and any of the other quantities recorded.

Table 114

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ž	ġ	71	1.4		#VE	Parect Output		a	Hesterion! Switer	
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2011							10.5	7.0		4
		9	90.00	*	2.20	22 68	14.69	29.22	46.5	7.5

In Table IIb we give similar data (emitting the current requirements) for some K-band tubes, mostly 2532's with a couple of 5022A's shown for compartson although they are now sheelots. These were measured with 30 ms i-f and in m radioster voitage mode cocurring near 200 voits. It is not at all certain that we were using the same drift angle in all cases. In this case the crystal had a conversion lose of 3.2 db and a noise temperature of 2.

As it only to be expected, the noise is increased since the ratio of i-f terf is smaller and a large increase in Q of the oscillator is very talikely. If we compute the noise to signal ratio, P₀/r, for the case of 3.5 mc bandwidth at 30 me i-f (two sidebands) we find an average of 5.1 × 10⁻¹⁰ at K band contrasted with 2.33 ×10⁻¹⁰ et X. Geneidering only the ratio of i-f to ref in the two cases one would expect a larger difference. The implication is that the londed Q of the 2K33 tabe is somewhat higher than that of the 7234/h, and this is horse out to some extent by the feet that the classifical tuning range is only slightly greater at K-band. In any event there is no real basis for the general impression that K-band tabes are "moisy".

It should be pointed out that in cases where marked electrical tuning hysterests was present the noise was greatly increased. This may well be due to a combination of effects such as heavy reactive loads and multiple trensits. Because of the great difficulties due to instability me quantitative work in the hysteresis region was attempted.

Measurements made on a 7254/B with a rejection filter to eliminate one sideband are presented in Figs. 3 and 4 for 30 and 50 mg i-f respectively. The filter osed was of owness not perfect and an empirical correction was used to allow for the "laskage" that get past it. The validity of this procedure is shown by the fact that the measured curve for both aidehands agreese quite well with the sum of the two separated sidehands. Foints were taken with the electrical tuning "head on" and dotated to the 3/4, 1/2 and 1/4 power points so each side. Note that at head on, the two sidebands are by no means symmetrical. This at first made us think we had chosen the center of the mode incorrectly, until we learned that Emipp predicted just this effect.

The vertical broken lines represent the limits of oscillation. We were unable to make good measurements such helps the 1/4 power points, so we have aketohed in the rast of the ourses as predicted by Emipp's theory. We see now that the high-low-ratio is principally due to the behavior of the low frequency sideband.

The effect of load varietions on the soine output of a 7234/B is shown in Fig. 5, which is an eduttance plot with the plane of reference at the gride of the tube⁵. This was taken at 30 no 1-f, and the sidebands were not experted. The reflector voltage was set at the center of the mode at matched load. The numbers give noise power in erbitrary units. As might be expected the noise is least for light leads and increases to very high values ear the size.

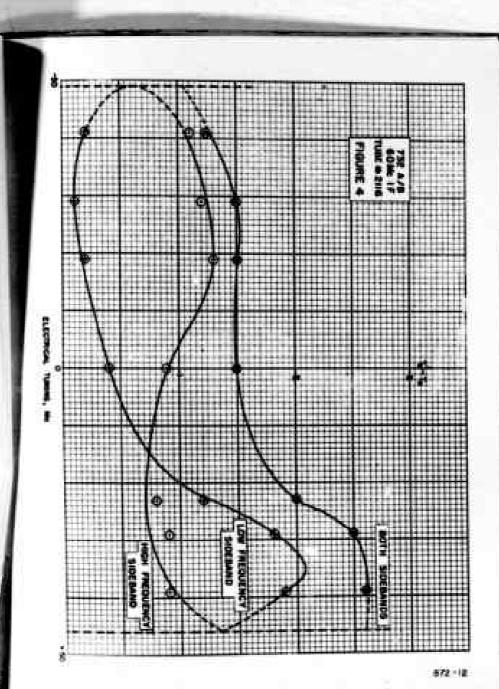
Note that the noise postours do not follow the conductance liese exactly, but that the tube is more noisy for inductive loads. This is a consequence of the long lies effect plus the fast that the two eidebands contain different amounts of noise power. For each point an the diagram representing a particular load edutations we observed the power dos to two eidebands. Because the load was not really at the gride but was sotually several wavelengths eway, the loads seen by the two eidebands 30 mg above and helow the outer frequency were approached different. Taking this effect into secount and using Enipp's curves for the power in the two eidebands et a function

^{5.} For a discussion of the method of representation and RL Febort No. 717, Rotes on Load Offsate on Reflex Ossillators

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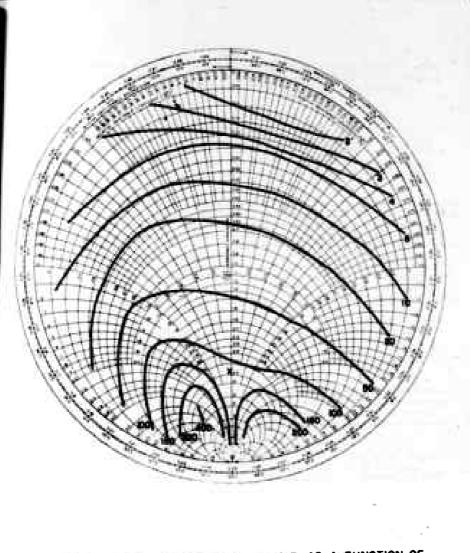


FIGURE 5 NOISE OUTPUT OF A 723 A/B AS A FUNCTION OF LOAD ADMITTANCE. I.F. 30MC, BOTH SIDEBANDS. NUMBERS ARE % MAX POWER X (TC'-TC) WHERE (TC'-TC) ARE IN TEMP. UNITS. THE CROSS INDICATES THE MAXIMUM POWER POINT.

of load condustance we could compute the theoretical diagram above in Fig. 5. This is drawn for a hypothetical tabe. The scale again is in arbitrary units. Fore the strong resemblance in the shape of the contours to those found experimentally.

Disquesion

The rather high values shown to Tables I and II under Po, ranging upward of 10-11 watte, should not name alors since it must be remashered that in operating receivers there will be several factors reducing the amount of this noise reaching the receiver input. In the first place, the decoupling used to adjust the excitation for the mixer will operate on the noise sidebands also, and ordinarily to the

. Second, many converters have a tuned circuit to the input (e.g. a tunable TR box) which profoundly effects results. If the Q is sufficiently high, and the box is tuned for signals, local oscillator frequency and image frequency will be reflected well. If the phase of the reflected local oscillator voltage is right to add to the direct wave at the crystal it will be possible to decouple by 6 do not than if local oscillator is fed in through a matched line as in these experiments. At the same time one noise sideband (that at image frequency) will be similarly reinforced. The sideband at signal frequency will be transmitted through the ER, leaving only the direct wave, so there will be a net reduction in noise power converted. The ascunt of this improvement can readily be computed for any specific come. The results depend strongly on the parameters chosen, but reductions of 25 to 50% are common. It is perhaps interesting to note that the common practice of patting the local oscillator on the high frequency side of the signal (so that the low frequency sideband is reduced) tends to minimize the increase in noise figure with electrical tuning. With this errangement the noise figura would be slightly poorer of the center of the mode but would not decay nearly as fast when electrical tuning toward higher frequencies is required.

Third, the load seen by the oscillator itself may not be as heavy as we used. Oscillators are often underloaded for the sake of securing more uniform output over a wide tuning range. From Fig. 5 wasses that comparatively small changes in load which would not materially affect the power output may make considerable differences in the noise.

J. B. H. Kuper M. C. Walte October 30, 1945

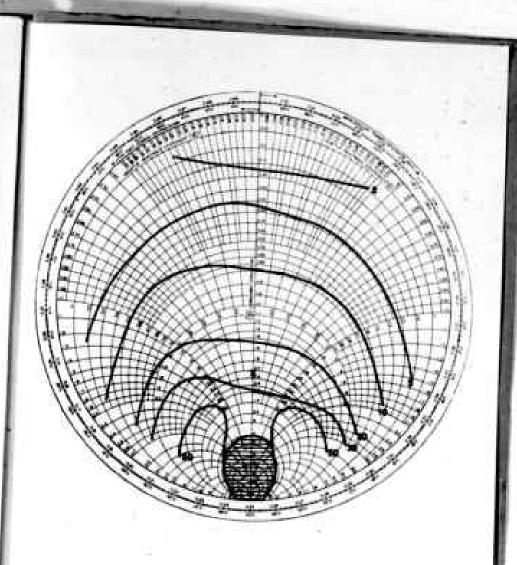


FIGURE 6 NOISE COUNTOURS FOR 30MC LF, HYPOTHETICAL TUBE LINE 3\(\text{LONG.}\) UNITS ARE IO X FX 9, WHERE F IS FROM KNIPP'S REPORT, AND 9 IS CONDUCTANCE AT GRIDS NOR-MALIZED TO ONE AT SINK THE CROSS INDICATES MAXIMUM POWER POINT.

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